Riparian vegetation metrics as tools for guiding ecological restoration in riverscapes

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ABSTRACT

Riparian woods are frequently fragmented and degraded, mainly as a consequence of land-cover related stresses, water diversion, regulation and invasive species. The need to enhance these systems towards their natural functioning has motivated numerous restoration efforts. However, river managers still need guidance on how to allocate scarce restoration resources, and to monitor and report the effectiveness of the restoration programmes. For this, the present work proposes a stepwise methodological procedure over spatial scales, using quantitative descriptors (metrics) of the ecological condition of the riparian vegetation. This evaluation is based on the composition and cover of plant assemblages, species attributes, and spatial patterns, which can reflect the deviation of the structure and condition of the riparian zone from the near-natural state to an impaired situation. Landscape metrics obtained from high spatial resolution imagery are used for the evaluation of the spatial features of the riparian zone, followed by the calculation of a riparian multimetric index, based on field observations. The integration of multi-spatial scale information provided by landscape metrics and biological metrics is essential and recommended for decision support of end-users and to evaluate the success of restoration measures.

KEY-WORDS: GIS applications, ecological condition, indices, landscape metrics, riparian woods

RÉSUMÉ

Descripteurs de la végétation rivulaire comme guide de la restauration écologique au sein des paysages de cours d’eau

Mots-clés : SIG, état écologique, indices, descripteurs du paysage, bois rivulaires

La dégradation et la fragmentation fréquentes des bois rivulaires sont principalement dues à des pressions d’usage du sol, à des modes de gestions des écoulements de l’eau et à la concurrence par des espèces invasives. C’est ce besoin de retour à des fonctionnements plus proches des conditions naturelles qui a motivé nombre d’efforts de restauration. Toutefois les gestionnaires de cours d’eau sont demandeurs d’outils d’évaluation des programmes de restauration et des manières de gérer des ressources qui se raréfient. Pour cela, notre travail propose une méthode hiérarchisée à des échelles spatiales variées, par des descripteurs quantitatifs (métriques) de l’état écologique de la végétation riparienne. Cette évaluation se fait à partir de la composition et du recouvrement des assemblages de plantes, des exigences spécifiques, et de leur répartition spatiale. Cette répartition spatiale est le reflet de l’écart de la structure et de l’état des

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berges, d’un état de référence quasi-naturel à une situation dégradée. Les métriques paysagères obtenues à partir d’une imagerie spatiale à haute résolution sont utilisées pour évaluer les particularités spatiales des berges, puis pour le calcul d’un indice rivulaire multifactoriel basé sur des observations de terrain. L’intégration d’une information spatiale multi-scalaire renseignée par des descripteurs du paysage est essentielle et recommandée comme support de décision pour les gestionnaires et pour évaluer le succès d’actions de restauration.

INTRODUCTION

Rivers and riparian areas of Iberia, Southwestern Europe, have been subjected to huge human disturbances for millennia, such as water diversion, regulation, land-use, afforestation, grazing, physical interventions on riverbanks, amongst others (Ferreira et al., 2005; Hooke, 2006; von Schiller et al., 2008). These manifold types of pressures often result in biodiversity and habitat loss, and invasion by alien plant species, as well as an overall degradation of the riparian ecosystem (Aguiar et al., 2001; Allan, 2004; Aguiar and Ferreira, 2005). Numerous functions and ecosystem services are attributed to these areas, and have been addressed in detail by Naiman et al. (2005). The riparian woods are settled in a transition area between the terrestrial and aquatic areas, and function as ecotone systems within the landscape, with extreme importance for the lateral, longitudinal and vertical flows of energy and biomass (Naiman and Décamps, 1997). Riparian woods have a linear structure and frequently present a transversal zonation of species assemblages, as a result of the interplay of water availability and species requirements. The fragmentation and loss of zonation and strata complexity of riparian areas reduces the efficiency of the functioning of these ecosystems (Schuft et al., 1999; Lake et al., 2007), including their use as an ecological corridor, as habitat and refuge and increases the susceptibility to invasion and the terrestrialization of the riparian zone.

Though the fragmental patterns of vegetation have been widely used to assess the degradation of these ecosystems (Ward et al., 2003), other features should also be taken into account (González-del-Tánago and García-Jalón, 2006). The lateral dimension (riparian width), the dimension, spatial configuration and spatial distribution of the riparian patches (riparian structure), and the floristic components (riparian composition) are essential to characterize and evaluate the condition of the riparian zones (Moser et al., 2000). Riparian areas are composed by patches, i.e. homogenous areas with different dynamics that can be distinguish within the riparian zone (Apan et al., 2002). The concept of landscape structure and riparian patches has been only applied recently (Apan et al., 2002) and few studies have been published (Fernandes et al., 2011). Remote sensing has been used to map and characterize riparian vegetation features such as the percentage of canopy cover, canopy continuity and tree clearing (Aguiar and Ferreira, 2005; Johansen and Phinn, 2006), buffer capacity (Ivits et al., 2009), width (Hyatt et al., 2004) and strata complexity (Johansen et al., 2007). However, the coarse spatial resolution of the images that have been used and the mismatch between the riparian buffer considered and the real riparian area lead to errors in the estimation of these parameters (Schuft et al., 1999; Congalton et al., 2002; Yang, 2007).

Landscape metrics, numeric descriptors that quantify patch configuration and the spatial relationships among patches, can be used to characterize the structure of riparian vegetation and help to make informed decisions on restoration planning, such as revegetating the riparian zones (Apan et al., 2002). These metrics can be related with general ecological processes and dynamics of riparian zones and express the ecological condition of riparian woods (Table I). The spatial patterns of vegetation should include the analysis of the fragmentation – the lack of longitudinal connectivity – and the dimension, the spatial heterogeneity and structural complexity of patches (Forman and Godron, 1981; Forman, 1995). For instance, fragmental patterns indicated by mean proximity index and mean nearest-neighbor distance, usually express poor ecological conditions (Schuft et al., 1999); meandering shapes of riparian patches identified by the mean shape index indicate large boundaries with the adjacent lands, expressing high lateral connectivity (Forman, 1995).
Whereas the evaluation of the structure of riparian vegetation benefits from a large-scale approach (river segments, catchments) – landscape level – the analysis of plant assemblages are usually treated as a small-scale issue (river reach, habitats) – local level (Franklin, 1993; Nakamura et al., 2005). Remote sensing data and geographic information systems (GIS) are used at the landscape level approach, whereas for the local scale approach, field surveys and data collection in-situ are usually necessary.

Though the spatial patterns can be assessed at a small-scale level, and species composition is beginning to be studied at a large scale, more frequently than desired, these two approaches have been addressed separately. For riparian restoration purposes, there is still a need to develop successful methodologies to identify areas to restore, to characterize previous ecological condition of riparian zones and to monitor and evaluate the success of the restoration efforts. The prioritization of areas in potential need to enhance, preserve or restore is fundamental since economic resources are limited and frequently areas to manage are large and are difficult of access.

**Table I**

List of landscape metrics per structural categories and acronyms. General ecological processes related with the landscape metrics, and applications to the analysis of the spatial patterns of riparian vegetation (based mainly on Forman and Godron, 1981; Forman, 1995 and Apan et al., 2002).

<table>
<thead>
<tr>
<th>Structural category</th>
<th>Landscape metrics</th>
<th>Acronym</th>
<th>Related vegetational ecological processes</th>
<th>Spatial patterns</th>
</tr>
</thead>
<tbody>
<tr>
<td>Area/density</td>
<td>Number of patches</td>
<td>NP</td>
<td>Productivity, biogeochemical cycling, biodiversity</td>
<td>Fragmentation and structural heterogeneity</td>
</tr>
<tr>
<td></td>
<td>Mean patch size</td>
<td>MPS</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Patch size coefficient of variation</td>
<td>PSCV</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shape</td>
<td>Mean shape index</td>
<td>MSI</td>
<td>Edge effects, lateral connectivity</td>
<td>Spatial configuration and complexity of riparian patches</td>
</tr>
<tr>
<td>Area/edge</td>
<td>Mean fractal dimension index</td>
<td>MPFD</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Isolation/proximity</td>
<td>Mean nearest-neighbor distance</td>
<td>MNN</td>
<td>Neighborhood effects, habitat and refugia discontinuity, ecological and energy flows</td>
<td>Isolation of riparian patches, interconnectivity</td>
</tr>
<tr>
<td></td>
<td>Mean proximity index</td>
<td>MPI</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contagion/interspersion</td>
<td>Interspersion and juxtaposition index</td>
<td>IJI</td>
<td>Community dynamics, persistence and resilience of communities</td>
<td>Distribution of riparian patches, i.e. inner allocation of different patches (trees, shrubs, herbaceous)</td>
</tr>
</tbody>
</table>

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Information regarding the composition of riparian plant assemblages, species origin (alien, native, endemic), species cover and other plant attributes are also essential to make a more detailed diagnostic of the condition of the riparian vegetation ecosystem. This information has to be collected in loco in the field, usually using river reaches as sampling units, with an adequate length variable with the sampling purposes.

The data collected in field can be summarized in multimetric indices, also called biological integrity indices, IBI (Karr, 1981) that classify an ecosystem according to its deviation from a desired near-natural ecological condition. This condition often termed reference condition means that no or only minimal anthropogenic stresses can be observed, and it is widely used in ecological studies, monitoring programmes and in legislative tools such as the Water Framework Directive, WFD (2000/60/EC; European Council, 2000). A metric that composed IBI is considered a measurable parameter of the biological system that changes in value with human pressure (Karr and Chu, 1999). Examples of plant-based IBI that use riparian vegetation include the index of plant community integrity (DeKeyser et al., 2003), the plant index of biotic integrity (Simon et al., 2001; Rothrock et al., 2008) for US wetlands and lakes and the riparian vegetation index (Aguiar et al., 2009) for Iberian streams, amongst others.

In the present work, we propose a method for the integration of the information collected at landscape and local scale levels in order to gain a holistic understanding of the riparian vegetation ecosystem and collect benefits from low-cost and less time-consuming techniques (Figure 1). Advantages and limitations of this approach are hereby discussed and potential applications are addressed.
METHODS

We propose three main steps for guiding ecological restoration and assessing the ecological condition of riparian vegetation in Mediterranean rivers.

> LANDSCAPE LEVEL

The methodological procedure is based on the previous study in Mediterranean rivers of Iberia (tributaries of river Tagus) made by Fernandes et al. (2011). The study area is divided in sampling units of 250 m long river reaches, within which riparian patches of tree and shrubs are delineated manually using geographic information systems, as well as the herbaceous vegetation shaded areas within the riparian zone (Figure 2). These riparian patches are homogeneous areas of vegetation that can be visually distinguished from the adjoining ones by their color, grain and other textural features. Landscape metrics of each sampling unit are calculated using the Patch Analyst (Vector format) for ArcGIS v9. Spearman Rank correlations (\( R \)) were initially used to evaluate the collinearity between the numerous landscape metrics available in the software. The correlated metrics (\(|R| > 0.8; p < 0.01\)) were eliminated to avoid redundancy in the data. Eight landscape metrics from five categories were selected, namely: (i) area/density: number of patches (NP), mean patch size (MPS), patch size coefficient variation (PSCV); (ii) shape: mean shape index (MSI), (iii) area/edge: mean fractal dimension index (MPFD); (iv) isolation/proximity: mean proximity index (MPI), mean nearest-neighbor distance (MNND) and (v) contagio/interception: interspection and juxtaposition index (IJI).

The information provided by the landscape metrics is analyzed and interpreted in order to assess the spatial patterns of the riparian woods. In general, a riparian zone in bad ecological condition (i.e. an impaired ecosystem) for Iberian Mediterranean streams has small riparian vegetation patches with less complex shapes, and also more homogeneous and isolated patches with low interspection (Fernandes et al., 2011).
In Figure 3a we present a comparison of the landscape metric values of two sampling units of a river stretch with different spatial patterns. We observed a low number of much smaller riparian tree patches (number of patches/mean patch size), with less jagged shapes (mean shape index), and a low interspersion of the patch distribution (interspersion and juxtaposition index) near to agricultural areas than with agroforestry adjacent lands. On the other hand, highly impaired riparian zones, such as those invaded by alien species (e.g. *Arundo donax* L., giant reed) can have very different values of the landscape metrics and a completely diverse spatial configuration of riparian patches (Figure 3b). Since the giant reed forms dense monotypic and elongated patches, we expect higher values of mean shape index, and lower number of patches (one riparian patch per sampling unit) than in a riparian zone composed by native woody species.

Landscape metrics can be easily mapped in GIS platform to visualize riparian stretches, in order to prioritize the areas to restore, enhance or protect. We propose the definition of five quality classes for each one of the landscape metrics to allow the perception of these areas, using the natural Breaks’ methodology (Jenks, 1967). Natural Breaks is a criteria of classification that minimize the sum of standard deviation intraclass and maximize the sum of the differences between classes. High spatial resolution imagery, such as airborne digital images RGB-NIR with no less than 0.5 × 0.5 m is recommended for two reasons: first, the riparian zones have frequently a small width and this type of images allow the clear discrimination of riparian vegetation from the adjacent lands, and second, this resolution is necessary to capture the detailed configuration of the riparian patches. Radiometric resolution near eight bits (0–255) is the minimum required for the perception of the grey levels that allow the distinction between trees, shrubs and herbaceous vegetation. Concerning to the spectral resolution, three channels in the visible region are sufficient for the visual identification of the riparian vegetation strata, so-called “true color” images.
In the previous subsection, we proposed the use of landscape metrics to characterize the ecological status of riparian vegetation and to detect target areas to restore. The local level approaches bring in the field data and the application of a plant-based biotic integrity index to the areas that were remotely identified. The biotic integrity indices are multimetric indices that use structural, functional and compositional features of the riparian vegetation to measure the deviation of a given riparian site from the reference condition.

We suggest the use of the Riparian vegetation index (RVI; Aguiar et al., 2009) for Portuguese rivers and other rivers of Mediterranean Iberia. However, other indices can be chosen to assess local features of vegetation for other regions, such as the riparian quality index (González-del-Tánago and García-Jalón, 2006), and the plant index of biotic integrity (Simon et al., 2001; Rothrock et al., 2008). The RVI is a multimetric index, based on compositional metrics (e.g. cover and number of alien and endemic species) and functional metrics associated with life cycle and reproduction (e.g. numbers of perennial species), and with trophic status (e.g. proportion of nitrophyllous species) (Table II).

For the development of the index, Aguiar et al. (2009) analysed 33 potential metrics out of a set of 300 vegetation attributes that could reflect the ecosystem processes and functions at different levels, and respond to various types of human pressures, mainly to the nutritive enrichment, hydromorphological alterations, such as physical habitat alterations and channelization, and use of adjacent lands. The selection of metrics to integrate the index involved four sequential steps: (i) response to human pressures, (ii) accordance between expected and observed response to pressures, (iii) the ability to provide unique information (i.e. non-redundancy), and (iv) field assessment and calculation’ efforts. The selected metrics were transformed to dimensionless numbers for aggregation into the index. For each metric,
three scores were assigned: 1 – poor, 3 – fair, and 5 – good quality, calibrated by the reference condition approach (Hering et al., 2006). Reference sites were pre-selected following personal knowledge and a preliminary screening using digital databases from the INAG and the Portuguese water resources information system (http://www.snirh.pt). Then, a classification of disturbance was assigned to each potential reference site, according to Pont et al. (2006).

The reference sites were chosen to reflect totally or nearly undisturbed conditions for (i) the hydromorphological condition, (ii) general physico-chemical features, (iii) the riparian zone, and presenting (iv) minimal land-use in the drainage basin.

The good-fair boundary was limited by the median of reference sites, and the poor-fair boundary by the median of the non-reference sites (i.e. impacted sites). The RVI for a site was obtained by the sum of the quality scores of all metrics, subtracted by the total number of
metrics. RVI values were transformed into ecological quality ratio (EQR) values. Five ecological quality classes were assigned: high (best of five classes), good, moderate, poor and bad. The high/good boundary was established using the 25th percentile of the reference sites. The four remaining classes were obtained by dividing the interval limited by the high/good boundary and the lower extremity of the gradient equally, in accord with Wallin et al. (2003). The index was developed for small (<100 km² of drainage basin) and medium-sized rivers (100–1000 km² of drainage basin) and originally contemplated diverse configurations for each of the nine river types of Portugal. The Portuguese river typology was developed for the implementation of the WFD, and can be consulted in [http://dqa.inag.pt/dqa2002/port/implementa/Manuais.html](http://dqa.inag.pt/dqa2002/port/implementa/Manuais.html). River types were defined by abiotic settings (climatic, altitude and area of drainage basin) and with the differences in species composition of four components of the fluvial ecosystem (fish, diatoms, macrophytes and macro invertebrates) (INAG IP, 2008a). The index presented more consistent responses to human pressures when the various river types were grouped (Aguiar et al., 2009). The classification was performed using only macrophyte data, and two regions were obtained – north and south. The resulting RVI score lies in the range 0–40 for the North region and 0–36 for the South region (the lower the score, the poorer ecological condition). Ranges of metrics and quality class values can be found in Table II. Differences between these two regions were translated in various vegetation responses to human pressure, and thus differences in the component metrics and in their scoring.

For the calculation of RVI, the field sampling based on the European standard EN14184:2003 “water quality – guidance for the surveying of aquatic macrophytes in running waters”, approved by the Comité Européen de Normalization is recommended. Spring-early Summer is considered the best period for floristic sampling in Mediterranean rivers. Since all species present in the river and riparian zone are needed to assess the overall alteration of the fluvial ecosystem, all plant species found in water and banks need to be recorded. So, the floristic sampling should include besides the macrophytes, terrestrial species and riparian woody species, such as trees, shrubs and woody climbers. A macrophyte is defined as a plant visible to the naked eye and nearly identifiable when observed, growing in or near water. This definition includes higher aquatic plants, vascular cryptograms, bryophytes, and macroalgae. Surveys are made in 100 m long river stretches, by wading upstream in a zig-zag manner across the channel or by walking in banks. Then a downstream re-wade in the river stretch is done to ensure that all species are recorded, and to confirm the species abundance attributed in the first assessment. Percentage cover of each species is estimated for the sampled area. Details on sampling procedures can be found in INAG IP (2008b), and of the RVI in Aguiar et al. (2009, 2011).

> INTEGRATIVE APPROACH

In implementing this approach, we considered three major steps: (i) the identification of priority areas to restore (landscape level), (ii) the identification of the magnitude and type of degradation (local level), and (iii) interpretation and translation of the information to be used by end-users (decision level). The results of the classification (landscape and local level) can be both georeferenced and mapped on a GIS platform (Figure 4). River managers can visualize not only the condition of the riparian zones but also the type the degradation, eg. invasive species, lack of longitudinal continuity, low riparian width. However, no clear inferences are made regarding the causes of the alteration of the condition of the riparian vegetation. That is, neither the landscape metrics nor the metrics that compose the RVI give a precise cause for the degradation of the riparian vegetation, but do assess the effects of pressures.

DISCUSSION

A preliminary classification of the ecological condition of the riparian zone can be obtained through the interpretation of the landscape metrics. The joint combination of the landscape
metrics from various categories is recommended. The detailed identification of spatial patterns of riparian vegetation can be recognized through the combination of area/density (number of patches, mean patch size) and isolation/proximity metrics (mean proximity index, mean nearest-neighbor distance), and other metrics related with ecological fluxes and species dynamics (mean shape index, mean fractal dimension index). However, a quick identification of fragmented areas can be obtained using a combination of the mean nearest-neighbor distance with the mean patch size, or even using a single metric – the mean proximity index, with a certain threshold level.

At the local level, the RVI can classify a given location in bad ecological quality status, but for different reasons. For instance, the metrics that compose the index can point to high cover and richness of alien plant species, and low numbers of endemics, whereas in another situation the structural metrics as the riparian cover can be those with a low ranking. This information is important as a guideline for river managers. The former situation points to the need to restore the composition of the riparian communities, by removing or lowering the cover of alien species and mitigating the native species communities, whereas the later points to a structural restoration by increasing the riparian width, and restoring the longitudinal connectivity of riparian woods.

Major advantages of this integrative approach, when compared with the field-centered classical approaches are the preliminary identification of areas to restore, using less-time consuming techniques, lowering the costs and human resources. Many studies use only biological information collected at river segment or at the habitat level, lacking in the perception of the riparian condition upstream/downstream of the studied area. If the goal of the restoration has been defined for a well-delimited region, this option is surely the best, since it characterizes in detail the area under study. On the other hand, the approach hereby presented is better for studies in large or unknown areas, since it identifies potential weaknesses in the ecological condition of riparian forests.

Some authors have used land cover predictors (agriculture, impervious areas of surroundings) obtained from remote sensing techniques together with biological indices to assess the ecological status of rivers and water quality (Goetz and Fiske, 2008) and to quantify the nature and magnitude of riparian landscape structural changes within catchments (Apan et al., 2002; Tormos et al., 2011). Others have used hierarchical approaches at the regional, catchment and local scales to make informed decisions in restoration planning and management of riparian areas (Nakamura et al., 2005; Lake et al., 2007).

However, we must be aware that various limitations exist. One of the most important concerns is the existence of geomorphological constraints that reduce the complexity of the riparian zones and mimic patterns of fragmentation and patchiness. In fact, small mountainous rivers near to the source, have frequently bedrock and boulders as dominant substrate, and can only support shrub and herbaceous vegetation. Thus, the interpretation of the landscape metrics must take into account the position of the surveyed location in the longitudinal river gradient and the geomorphological background. Another problem is the temporal window that may exist between the date of the digital imagery and the date of field survey and could led to outdated information. Caution must also be taken when comparing metric values from imagery with different spatial resolutions or characteristics (Mas et al., 2010).

We have also to keep in mind that the interpretation of the values of the landscape metrics cannot be applied to other regions than the studied basin (river Tagus, Portugal) without a prior analysis of well-preserved riparian zones, i.e. different biogeographical regions need a calibration of the reference condition. Moreover, in complement of a plant-based index, other information on the hydromorphological condition and of the main human pressures (e.g. vegetation removal, dams, weirs) on river and river surroundings (e.g. industrial areas, intensive agriculture) is important for a sustainable restoration of the riparian vegetation.

We conclude along with other top-down approaches (e.g. Nakamura et al., 2005; Lake et al., 2007; Goetz and Fiske, 2008) that they have a important twofold benefit: (i) the gain on holistic understanding of the ecosystems and ecological support for managerial and planning decisions, and (ii) the reduction of the costs of management and restoration planning.
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